

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

SEKI-0011

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/541572

INTERNATIONAL APPLICATION NO.  
PCT/JP2004/001684

INTERNATIONAL FILING DATE  
FEBRUARY 17, 2004

PRIORITY DATE CLAIMED  
March 10, 2003

TITLE OF INVENTION  
COLOR DOT CODE SYSTEM

APPLICANT(S) FOR DO/EO/US  
Kenichi UEDA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. ☒ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☒ An English language translation of the amendments to the claims under Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☒ An Assignment Document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A Preliminary Amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☒ A substitute Specification.
16. ☒ A Power of Attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: PCT Request Form 101

U.S. APPLICATION (37 CFR 1.51)		INTERNATIONAL APPLICATION PCT/JP2004/001684		ATTORNEY'S DOCKET NO. SEKI-0011	
10/541572					
The following fees have been submitted:				Calculations	PTO User Only
21. <input checked="" type="checkbox"/> Basic national fee .....				\$300.00	
22. <input checked="" type="checkbox"/> Examination fee				\$200.00	
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....				\$100.00	
All other situations .....				\$200.00	
23. <input checked="" type="checkbox"/> Search fee				\$400.00	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....				\$300.00	
International Search Report prepared and provided to the Office .....				\$400.00	
All other situations .....				\$500.00	
<b>TOTAL OF 21, 22, and 23 =</b>				<b>\$900.00</b>	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.				\$	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	- 26 =	6	x \$50.00	\$300.00	
Independent Claims	- 5 =	2	x \$200.00	\$400.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$700.00</b>	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$800.00	
<b>SUBTOTAL =</b>				<b>\$800.00</b>	
Processing fee of \$130.00 for furnishing the English language translation later than 30 months from the earliest priority date (37 CFR 1.492(f))				\$	
<b>TOTAL NATIONAL FEE =</b>				<b>\$800.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property				\$ 40.00	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$840.00</b>	
				Amount to be refunded:	\$
				charged:	\$
<p>a. <input checked="" type="checkbox"/> Checks in the amount of \$800.00 (check no. 15387) and \$40.00 (check no. 15388) to cover the above fees are enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p> <p><b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.</b></p>					
<p>SEND ALL CORRESPONDENCE TO: CUSTOMER NO. 34610</p> <p style="text-align: center;"><i>Carl R. Wesolowski</i> SIGNATURE Carl R. Wesolowski NAME 40,372 REGISTRATION NUMBER</p> <p>FLESHNER &amp; KIM, LLP P.O. Box 221200 Chantilly, Virginia 22151-1200 USA 703-766-3701</p>					

Docket No.: SEKI-0011

JC14 Rec'd PCT/PTO

10/541572

07 JUL 2005

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

**Kenichi UEDA**

New U.S. Natl. Phase of Intl. Appln. No.  
PCT/JP2004/001684

Intl. Filing Date: 2/17/2004  
U.S. Natl. Phase Filing Date: 7/7/2005

**Customer No.: 34610**

For: COLOR DOT CODE SYSTEM

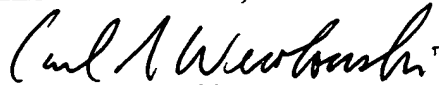
SEPARATE PAPER UNDER 37 C.F.R. 1.32

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314  
Sir:

Please assign Customer Number 34610 to the application identified above. In accordance with 37 C.F.R. 1.32 the appointed attorneys are all of the registered attorneys under Customer Number 34610. Attached herewith is the Declaration and Power of Attorney.

It is believe that no fee is due. However, to the extent necessary, a petition for an extension of time under 37 C.F.R. 1.136 is hereby made. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 16-0607 and please credit any excess fees to such deposit account.

Respectfully submitted,  
FLESHNER & KIM, LLP

  
Carl R. Wesolowski  
Registration No. 40,372

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Date: July 7, 2005